



Patent
Attorney Docket No. 007413-076

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Michael Steigerwald et al.

Application No.: 10/825,240

Filing Date: April 16, 2004

Group Art Unit: 2881

Examiner:

Confirmation No.: 7784

Title: ELECTRON MICROSCOPY SYSTEM, ELECTRON MICROSCOPY METHOD AND FOCUSING
SYSTEM FOR CHARGED PARTICLES

**SECOND
INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a **SECOND** Information Disclosure Statement and accompanying form
PTO-1449 for the above-identified patent application.


- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of _____ is enclosed for the fee due.
- ☐ Charge _____ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By 
Douglas H. Pearson
Registration No. 47,851

Date: September 27, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|----------------------------------|---|------------------------|
| In re Patent Application of |) | |
| Michael STEIGERWALD, et al. |) | Group Art Unit: 2881 |
| Application No.: 10/825,240 |) | Examiner: |
| Filed: April 16, 2004 |) | Confirmation No.: 7784 |
| For: ELECTRON MICROSCOPY SYSTEM, |) | |
| ELECTRON MICROSCOPY METHOD |) | |
| AND FOCUSING SYSTEM FOR |) | |
| CHARGED PARTICLES |) | |

SECOND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

The information is being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since the information is being submitted within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

Reference is made to the First Information Disclosure Statement (IDS) and accompanying form PTO-1449 filed on August 30, 2004. Document SU 997135 listed on the PTO-1449 of the first IDS is a foreign language document. SU 997135 relates to a co-axial (concentric) arrangement of components, comprising a source, two anodes, a condenser, an objective lens, a deflecting system and an aperture plate, such that the energy of the electrons may be varied independently of the beam current by means of at least one supplementary electrode, located close to the intermediate image.

In addition, document number EP 0 834 335 listed on the PTO-1449 of the first IDS contains a typographical error. It should be listed as EP 0 843 335. A copy of EP 0 843 335

was properly submitted with the First IDS. An additional PTO-1449 is attached herewith listing the correct document number EP 0 843 335.

In addition, the undersigned has been informed of the following correspondences between documents listed on either the PTO-1449 Form of the First IDS or the PTO-1449 Form submitted herewith:


| <u>FOREIGN DOCUMENT</u> | | <u>CORRESPONDING U.S. PATENT</u> |
|------------------------------------|---------------------|---|
| EP 0 762 468-A1 | Corresponds to..... | US 5,677,530 |
| EP 0 790 634-A2 | Corresponds to..... | US 5,780,859 |
| EP 0 821 392-A1 | Corresponds to..... | US 5,847,399 |
| EP 0 821 393-A2 | Corresponds to | US 5,895,917 |
| EP 0 834 896-A2 | Corresponds to | US 5,808,309 |
| EP 0 843 335-A2 | Corresponds to | US 6,051,838 |
| EP 0 952 606-A1 | Corresponds to | US 6,232,601 |

These documents are listed on the PTO-1449 forms either submitted with the First IDS or submitted herewith. It is respectfully requested that Examiner initialed copies of the PTO-1449 forms be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date September 27, 2004

By: 
Douglas H. Pearson
Registration No. 47,851

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SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number

10/825,240

Filing Date

April 16, 2004

First Named Inventor

STEIGERWALD, et al

Examiner Name

Unassigned

Attorney Docket Number

007413-076



U.S. PATENT DOCUMENTS

| Examiner Initials | Document Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date (MM-DD-YYYY) |
|-------------------|-----------------|----------------------|---|-------------------------------------|
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Document Number | Kind Code (if known) | Country | Date of Publication (MM-DD-YYYY) | STATUS | | | | | | |
|-------------------|-----------------|----------------------|---------|----------------------------------|-------------|---------------------|--------------------|---------------|------|----------|---------------|
| | | | | | Translation | Partial Translation | Eng. Lang. Summary | Search Report | IPER | Abstract | Cited in Spec |
| | EP 0 843 335 | A1 | EUROPE | 05-20-1998 | | | | | | | |
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NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.